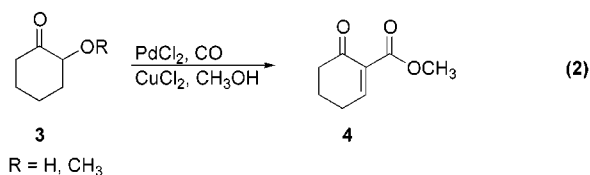
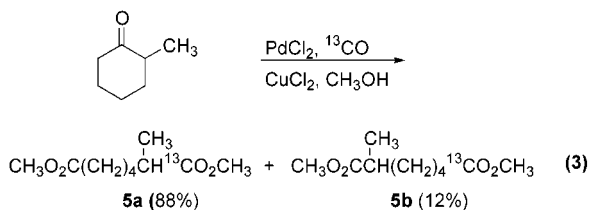


unsaturated β -ketoester, **4**, in eq 2. Carbonylation of the straight chain ketone, 2-decanone, produced methyl acetate, methyl nonanoate, and 1-chlorooctane.

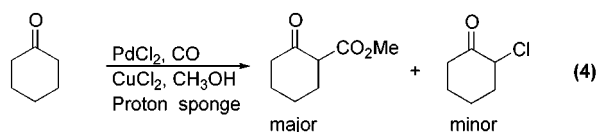


2-Methylcyclohexanone gave products analogous to those obtained from carbonylation of cyclohexanone, and the methyl group allows determination of the position of a ¹³C label. To test possible mechanisms, 2-methylcyclohexanone was carbonylated in the presence of ¹³CO in one experiment. Analysis of the two products by ¹H and ¹³C NMR showed that the diester product contains only one labeled carbon, while the chloro compound has no labeled carbon. This result requires that one of the methyl ester groups in the diester product arises from the ketone function.

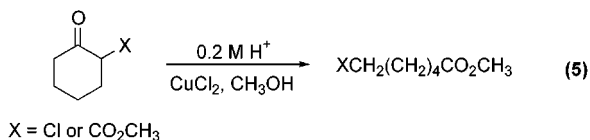
About 88% of the dimethyl 2-methylpimelate product, **5**, contained the ¹³C label in the methyl ester group next to the carbon containing the methyl group (**5a** in eq 3). About 12% contained the ¹³C label in the methyl ester group furthest from the methyl group (**5b** in eq 3).



Several control experiments were run to test possible mechanisms. First, the reaction with cyclohexanone was run in the presence of all reactants but PdCl₂. No reaction occurred, proving that the reaction was Pd(II)-catalyzed. Second, cyclohexanone was reacted under the usual reaction conditions in the presence of proton sponge to remove any acid present. As shown in eq 4, the major



product was methyl 2-oxocyclohexanecarboxylate, with traces of 2-chlorocyclohexanone. Thus, the ring cleavage must be acid-catalyzed. Next the methyl 2-oxocyclohexanecarboxylate recovered from the above experiment and a commercial sample of 2-chlorocyclohexanone were reacted with 0.2 M HCl in methanol containing 0.7 M CuCl₂. As shown in eq 5, the only products observed in



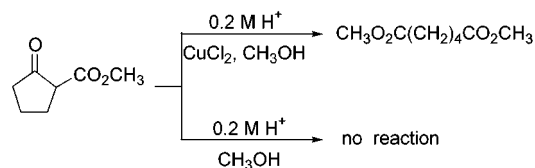
both experiments were the ring cleavage products, methyl 6-chlorohexanoate and dimethyl pimelate, respectively. Finally, the need for both acid and CuCl₂ was tested

Table 1. Catalytic Carbonylation of Ketones in Methanol by Palladium(II) Chloride in the Presence of CuCl₂^a

ketone	products (relative yields)	yield, %
cyclopentanone	dimethyl adipate (85%)	73
cyclohexanone	methyl 5-chloropentanoate (15%)	78
	dimethyl pimelate (87%)	
cycloheptanone	methyl 6-chlorohexanoate (13%)	83
	dimethyl subarate (90%)	
2-methylcyclopentanone	methyl 7-chloroheptanoate (10%)	78
	dimethyl 2-methyladipate (88%)	
2-methylcyclohexanone	methyl 5-chlorohexanoate (12%)	78
	dimethyl 2-methylpimelate (90%)	
2-hydroxycyclohexanone	methyl 6-chloroheptanoate (10%)	70
	2-(carbomethoxy)cyclohex-2-en-1-one	
2-methoxycyclohexanone	2-(carbomethoxy)cyclohex-2-en-1-one	53
	2-decanone	methyl nonanoate (65%)
	1-chlorooctane (35%)	
	methyl acetate (100%)	

^a Reaction mixtures contained 0.6 mmol PdCl₂, 5 mmol ketone, and 15 mmol CuCl₂ in 15 mL of methanol. The CO pressure was approximately 1 atm.

Scheme 1



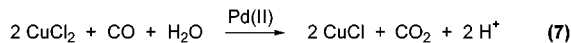
using commercially available methyl 2-oxocyclopentanecarboxylate. As shown in Scheme 1, ring cleavage occurred only when both HCl and CuCl₂ were present but not when only HCl was present.

Discussion

The cyclic ketone carbonylation reaction provides a potentially useful route for the preparation of diesters containing one more carbon atom than the original ketone. This results requires that CO is inserted during the course of the reaction, and the ¹³CO labeling experiments (eq 3) show that one of the ester groups contains a ¹³CO label. The value of the synthesis could be enhanced by the fact it is a potential air oxidation since the copper(I) chloride is readily reoxidized to copper(II) chloride by oxygen. However, as shown in eq 6, the proton

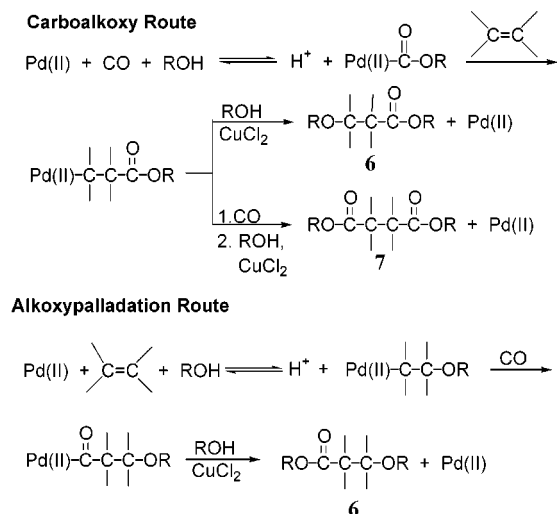


formed during diester formation is removed, and water is formed during the course of the oxidation. If the proton is not initially present, 2-carbomethoxyketone would be the product since, as shown in eq 4, the proton is required for ring cleavage. Of course, if diester is the desired product, extra acid could be added initially. The water formed during the reoxidation will likely react with the CO present in a Pd(II)-catalyzed reaction to form CO₂, resulting in the reduction of more copper(II) chloride (eq 7).



This side reaction was previously observed for CO reactions using copper(II) chloride as the reoxidant when a dehydrating agent was not present.⁷ Thus, the reoxidation step will probably have to be run in a separate reaction in the absence of CO and in the presence of a

Scheme 2



dehydrating agent such as trimethyl orthoformate. Finally, terminally chloride-substituted esters are side products of the reaction. Since CO is not required for this synthesis, these chloride-substituted esters would presumably become the only product in the absence of CO.

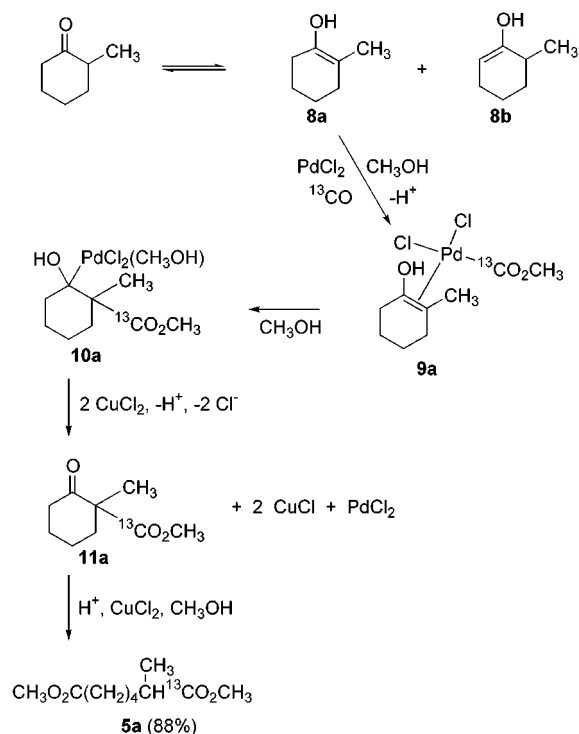
Any mechanism for the carbonylation would be expected to be similar to those previously observed for the carbonylation of simple olefins. Actually, two routes are observed in alcohol solvent: the carboalkoxy and the alkoxypalladation mechanisms. These two pathways are outlined in Scheme 2. There is considerable analogy in palladium(II) catalysis for both routes, and apparently both routes are operative with the predominate one, depending on reaction conditions.¹⁵ Note that the carboalkoxy route explains the presence of succinate esters, **7**, found in addition to the β -alkoxypropionate ester, **6**, predicted by the alkoxypalladation mechanism.¹⁶

The carbomethoxy mechanism for 2-methylcyclohexanone that is consistent with all the experimental results is shown in Scheme 3. The initial enolization gives the species **8a** and **8b**. The route for **8a**, which accounts for 88% of the labeled products, is illustrated. The route for **8b**, which gives 12% of the labeled products, is entirely analogous. The π -complex, **9a** inserts the carbomethoxy group to give **10a** which decomposes to give **11a**. The final product **5a** arises from ring cleavage of **11a** catalyzed by acid formed during the course of the reaction.

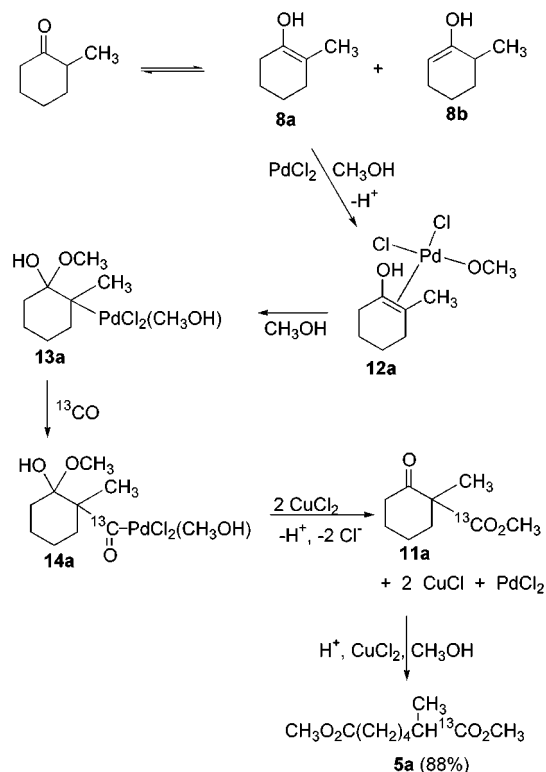
The alkoxypalladation route is outlined in Scheme 4. The initial π -complex, **12a**, undergoes olefin insertion into the Pd-OCH₃ bond to produce **13a** which in turn inserts ¹³C into the Pd-carbon bond to form **14a**. Oxidative decomposition of **14a** produces **11a** that undergoes the same ring cleavage as in Scheme 3 to give the final product **5a**. An analogous route with **8b** gives the other isomer **5b** (eq 3).

The route for the chlorine-containing product, shown in Scheme 5, is analogous to the diester route shown in Scheme 3. However chloride, rather than carbomethoxy,

Scheme 3



Scheme 4



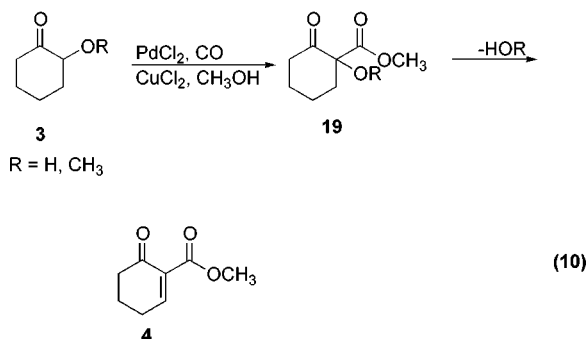
adds to **8a** to give the intermediate **15a** that decomposes in the same fashion as **10a** in Scheme 3 to give **16a** which undergoes ring cleavage to produce methyl 6-chloroheptanoate. The same addition to **8b** would eventually give methyl 6-chloro-2-methylhexanoate. This product was not observed. On the other hand, chloropalladation in the opposite fashion would give an intermediate analogous to **13a** in Scheme 4. This intermediate could insert ¹³C and eventually lead to **5a** by the route shown in Scheme 4. It is thus possible that some of the **5a** product is formed

(15) For a discussion of olefin carbonylation, see: Henry, P. M. *Palladium Catalyzed Oxidation of Hydrocarbons*, D. Reidel: Dordrecht, Holland, 1980; pp 193-212.

(16) A third mechanism, specific to ketones, involves $\text{oxa-}\pi$ -allylpalladium complexes.¹⁷ These intermediates may very well be operative in some reactions especially when silyl enol ethers, rather than ketones, are the substrates. However, carbonylation only occurs when the silyl ethers cannot undergo β -elimination.¹⁸ Thus this mechanism does not appear applicable to the present system.

17a would most likely eliminate water (see following discussion), the final observed product would probably be **18a**.

The route for the formation of the unsaturated cyclic ketonic ester, **4**, from α -hydroxy- or α -methoxycyclohexanone in eq 2 is most likely the same as for the other ketones. However, as shown in eq 10, elimination of



water or methanol happens before ring cleavage can occur. The elimination may be acid-catalyzed so in the presence of proton sponge **19** may be the product.

In summary, this report describes a novel carbonylation of ketones that generally occurs with carbon-carbon bond cleavage to give diesters. A side reaction is the formation of terminally chlorine-substituted acid esters.

Experimental Section

General. ^1H and ^{13}C NMR data were recorded on a Varian VXR 400S NMR. All chemical shifts are reported relative to tetramethylsilane as internal standard. GLC analyses were carried out using a GOW-MAC 350 gas chromatography fitted with Carbowax 10 M on 80–100 mesh Chromosorb W-NAW columns.

Materials. All chemicals were purchased from Aldrich Chemical Co., unless otherwise specified, and were used as received. PdCl_2 and 2-methylcyclohexanone were purchased from Alfa Aesar and used without further purification. Methanol was distilled over magnesium alkoxide.

General Procedure for the PdCl_2 -Catalyzed Carbomethoxylation of Ketones. All reactions were conducted in dry glassware under a positive pressure of carbon monoxide of a little over 1 atm. A two-necked round-bottom flask (100 mL) equipped with a magnetic stirring bar, septum, and balloon was charged with CuCl_2 (2.0 g, 15.0 mmol), PdCl_2 (0.10 g, 0.56 mmol), and methanol (15 mL). The air in the flask was replaced by CO by evacuating on an aspirator and then pressuring with CO through a needle. Then 5 mmol of ketone was injected, and the CO pressure was raised to a little over 1 atm. The reaction mixture was stirred at room temperature for 4 days. After removing the solvent under reduced pressure, the residue was dissolved in CH_2Cl_2 , washed with diluted NaHCO_3 (20 mL), and dried over MgSO_4 . CH_2Cl_2 was then removed under reduced pressure, and the residue was purified by either preparative GLC or column chromatography (hexane/ AcOEt = 8/2). In every reaction, 15–20% of the starting material was recovered. The percent yields based on ketone were obtained by GLC analysis in the presence of an internal standard.

Carbonylation of Cyclopentanone. Carbonylation of cyclopentanone (0.45 mL, 5.0 mmol) gave dimethyl adipate and methyl 5-chloropentanoate in relative yields of 85% and 15%, respectively, and a chemical yield of 58%. Data for dimethyl adipate: ^1H NMR (400 MHz, CDCl_3): δ 3.66 (s, 6H), 2.32 (m, 4H), 1.65 (m, 4H). ^{13}C NMR (100 MHz, CDCl_3): δ 173.8, 51.6, 33.7, 24.4. Data for methyl 5-chloropentanoate: ^1H NMR (400 MHz, CDCl_3): δ 3.68 (s, 3H), 3.56 (t, 2H), 2.36 (t, 2H), 1.80 (m, 4H); ^{13}C NMR (100 MHz, CDCl_3): δ 173.6, 51.6, 44.4, 33.2, 31.8, 22.2.

Carbonylation of Cyclohexanone. Carbonylation of cyclohexanone (0.52 mL, 5.0 mmol) gave dimethyl pimelate, **1**, and methyl 6-chlorohexanoate, **2**, in relative yields of 87% and 13%, respectively, and a chemical yield of 62%. Data for **1**: ^1H NMR (400 MHz, CDCl_3): δ 3.66 (s, 6H), 2.32 (m, 4H), 1.65 (m, 4H), 1.38 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3): δ 174.0, 51.5, 33.8, 28.6, 24.6. Data for **2**: ^1H NMR (400 MHz, CDCl_3): δ 3.67 (s, 3H), 3.53 (t, J = 6.60 Hz, 2H), 2.33 (t, J = 7.35 Hz, 2H), 1.8 (q, 2H, J = 7.80 Hz, 2H), 1.65 (q, J = 7.80 Hz, 2H), 1.50 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3): δ 173.9, 51.5, 44.8, 33.8, 32.2, 26.4, 24.2.

Carbonylation of Cycloheptanone. Carbonylation of cycloheptanone (0.59 mL, 5.0 mmol) gave dimethyl subarate and 7-chloroheptanoate in relative yield of 90% and 10%, respectively, and a chemical yield of 66%. Data for dimethyl subarate: ^1H NMR (400 MHz, CDCl_3): δ 3.86 (s, 6H), 2.32 (t, 4H), 1.65 (m, 4H), 1.36 (m, 4H); ^{13}C NMR (100 MHz, CDCl_3): δ 174.1, 51.5, 34.0, 28.8, 25.8. Data for methyl 7-chloroheptanoate: ^1H NMR (400 MHz, CDCl_3): δ 3.68 (s, 3H), 3.54 (t, 2H), 2.32 (t, 2H), 1.78 (m, 2H), 1.64 (m, 2H), 1.44 (m, 2H), 1.38 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3): δ 174.1, 51.5, 44.9, 33.9, 32.4, 28.4, 26.5, 24.7.

Carbonylation of 2-Methylcyclopentanone. Carbonylation of 2-methylcyclopentanone (0.54 mL, 5.0 mmol) gave dimethyl 2-methyl adipate and methyl 5-chlorohexanoate in relative yields of 88% and 12%, respectively, and a chemical yield of 62%. Data for dimethyl 2-methyl adipate: ^1H NMR (400 MHz, CDCl_3): δ 3.68 (s, 3H), 3.67 (s, 3H), 2.48 (m, 1H), 2.30 (t, 2H), 1.65 (m, 3H), 1.45 (m, 1H), 1.15 (d, 3H); ^{13}C NMR (100 MHz, CDCl_3): δ 176.8, 173.7, 51.4, 51.3, 39.2, 33.6, 33.1, 22.6, 16.9. Data for methyl 5-chlorohexanoate: ^1H NMR (400 MHz, CDCl_3): δ 4.20 (m, 1H), 3.65 (s, 3H), 2.33 (t, 2H), 1.76 (m, 2H), 1.68 (m, 2H), 1.50 (d, 3H); ^{13}C NMR (100 MHz, CDCl_3): δ 173.8, 50.8, 43.1, 33.7, 30.0, 24.3, 9.6.

Carbonylation of 2-Methylcyclohexanone. Carbonylation of 2-methylcyclohexanone (0.61 mL, 5.0 mmol) gave dimethyl 2-methylpimelate, and methyl 6-chloroheptanoate in relative yields of 90% and 10%, respectively, and in a chemical yield of 64%. Data for dimethyl 2-methylpimelate: ^1H NMR (400 MHz, CDCl_3): δ 3.68 (s, 3H), 3.67 (s, 3H), 2.48 (m, 1H), 2.30 (t, 2H), 1.65 (m, 6H), 1.15 (d, 3H); ^{13}C NMR (100 MHz, CDCl_3): δ 176.9, 173.8, 51.5, 51.4, 39.3, 33.9, 33.4, 26.8, 24.9, 17.2. Data for methyl 6-chloroheptanoate: ^1H NMR (400 MHz, CDCl_3): δ 4.14 (m, 1H), 3.64 (s, 3H), 2.32 (t, 2H), 1.72 (m, 2H), 1.65 (m, 2H), 1.50 (d, 3H), 1.43 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3): δ 173.9, 58.5, 51.5, 39.9, 33.9, 26.2, 25.3, 24.1.

Carbonylation of 2-Hydroxycyclohexanone. Carbonylation of 2-hydroxycyclohexanone (0.23 g, 2.0 mmol) gave 2-(carbomethoxy)cyclohex-2-en-1-one, **4**, (0.17 g, 55%) yield. The product was purified by column chromatography (hexane/ethyl acetate = 8/2). ^1H NMR (400 MHz, CDCl_3): δ 5.93 (t, J = 4.76 Hz, 1H), 3.60 (s, 3H), 2.52 (t, J = 7 Hz, 2H), 2.43 (q, J = 5.7 Hz, 2H), 1.95 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3): δ 194.4, 175.0, 151.5, 116.3, 54.7, 38.8, 24.4, 23.0.

Carbonylation of 2-Methoxycyclohexanone. Carbonylation of 2-methoxycyclohexanone (0.26 g, 2.0 mmol) gave 2-(carbomethoxy)cyclohex-2-en-1-one, **4**, (0.11 g, 36%) yield.

Carbonylation of 2-Decanone. Carbonylation of 2-decanone afforded methyl nonanoate, 1-chlorooctane, and methyl acetate in relative yields of 65%, 35%, and 100%, respectively, and a chemical yield of 42%. Data for methyl nonanoate: ^1H NMR (400 MHz, CDCl_3): δ 3.67 (s, 3H), 2.30 (t, 2H), 1.65 (m, 2H), 1.30 (m, 10H), 0.89 (t, 3H); ^{13}C NMR (100 MHz, CDCl_3): δ 174.4, 51.4, 34.1, 31.8, 29.2, 29.1, 29.0, 24.9, 22.6, 14.1. Data for 1-chlorooctane: ^1H NMR (400 MHz, CDCl_3): δ 3.54 (t, 2H), 1.78 (m, 2H), 1.45 (m, 2H), 1.30 (m, 8H), 0.89 (t, 3H); ^{13}C NMR (100 MHz, CDCl_3): δ : 45.2, 32.6, 31.7, 29.1, 28.8, 27.0, 22.6, 14.1. Methyl acetate was identified by GLC retention time.

Carbonylation of 2-Methylcyclohexanone in the Presence of ^{13}C O. Carbonylation of 2-methylcyclohexanone (0.52 mL, 5.0 mmol) in the presence of ^{13}C O was carried out as before to give dimethyl 2-methylpimelate (**5**) and methyl 6-chloroheptanoate in relative yield of 85% and 15%, respectively. Analysis by ^1H and ^{13}C NMR showed that the product **5** is a mixture of two isomers **5a** and **5b** in relative yield of

88% and 12%, respectively. Data for **5a**: ^1H NMR (400 MHz, CDCl_3): δ 3.68 (d, $J = 1.6$ Hz, 3H), 3.66 (s, 3H), 2.43 (m, 1H), 2.32 (m, 2H), 1.42–1.63 (m, 6H), 1.18 (dd, $J = 6.8$ and 4.8 Hz, 3H); ^{13}C NMR (100 MHz, CDCl_3): δ 176.9, 173.8 51.6 (d, $J = 10.8$ Hz), 51.5, 39.5 (d, $J = 225.6$ Hz), 33.8, 33.1, 26.7, 24.8 (d, $J = 11.2$ Hz), 17.1. Data for **5b**: ^1H NMR (400 MHz, CDCl_3): δ 3.67 (d, $J = 1.6$ Hz, 3H), 3.65 (s, 3H), 2.40 (m, 1H), 2.30 (m, 2H), 1.42–1.63 (m, 6H), 1.16 (d, $J = 6.8$ Hz, 3H); ^{13}C NMR (100 MHz, CDCl_3): δ 176.0, 173.8 51.6, 51.5 (d, $J = 10.8$ Hz), 39.2 (d, $J = 113.6$ Hz), 34.2, 33.7, 26.8, 24.7, 17.1.

Control Experiment: "Carbonylation" of Cyclohexanone in the Absence of PdCl_2 . The reaction was carried out under CO pressure using the balloon method. To a solution of CuCl_2 in methanol (12.0 mL) was added cyclohexanone (0.70 g, 5.3 mmol). The resulting green solution was allowed to stir for 24 h. During the stirring period no change in color was observed. The solvent was removed under reduced pressure by a rotary evaporator. The residue was extracted with diethyl ether (3×50 mL), dried over MgSO_4 , and concentrated. ^1H and ^{13}C NMR analysis of the residue showed that only starting material was present.

Control Experiment: Ring Cleavage of 2-Chlorocyclohexanone in the Presence of HCl. A 0.25 M HCl solution was prepared in methanol and used in this experiment. To a solution of CuCl_2 (1.07 g, 10.8 mmol) in methanol (15.0 mL, contains 0.25 M HCl) was added 2-chlorocyclohexanone (0.70 g, 5.3 mmol). The resulting green mixture was stirred for 24 h. Then methanol was removed under reduced pressure, and the residue was extracted with Et_2O (3×50 mL). The combined ether extracts was then washed with aq NaHCO_3 and water, dried over anhydrous MgSO_4 , filtered, and concentrated under reduced pressure. The residue was then chromatographed over silica gel and eluted with 50% hexane and

methylene chloride. Two products were isolated. They were identified by ^1H and ^{13}C NMR to be the starting material and methyl 6-chlorohexanoate.

Control Experiment: Carbonylation of Cyclohexanone in the Presence of Proton Sponge. To a mixture of PdCl_2 (0.196 g, 1.11 mmol), CuCl_2 (1.46 g, 10.8 mmol), proton sponge (0.536 g, 2.50 mmol), and 12.0 mL of methanol in a 100 mL round-bottom flask was added cyclohexanone (0.50 g, 5.0 mmol). The light red mixture was allowed to stir for 48 h under CO pressure. A black precipitate was removed by filtration, and the filtrate was concentrated under reduced pressure. The residue was extracted with ether (3×50 mL), and the combined extracts were washed with NaHCO_3 solution and water, dried over anhydrous MgSO_4 , and concentrated under reduced pressure to produce yellowish-pink-colored residue. The residue was chromatographed on a silica gel column by first eluting with hexane and then with 5% ethyl acetate in hexane. Three products were isolated. Analysis by ^1H and ^{13}C NMR showed that the products were cyclohexanone, methyl 2-oxocyclohexanecarboxylate, and 2-chlorocyclohexanone. Data for methyl 2-oxocyclohexanecarboxylate: ^1H NMR (400 MHz, CDCl_3): δ 3.66 (s, 3H), 2.39 (t, $J = 7.20$, 1H), 2.31 (t, $J = 7.40$, 2H), 1.64 (m, 4H), 1.46 (m, 2H) ppm. ^{13}C NMR (100 MHz, CDCl_3): δ 200.2, 174.0, 51.5, 42.5, 33.8, 28.6, 24.7, 24.5 ppm.

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